



83000.1013/P3212/BC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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6-7-00

In re the Application of )  
NORTHCUTT et al. ) Examiner: Vu, V.  
Serial No: 09/063,335 )  
Filed: April 20, 1998 ) Group Art Unit: 2758  
For: METHOD AND APPARATUS )  
FOR PROVIDING A VIRTUAL )  
DESKTOP SYSTEM )  
ARCHITECTURE )

TC 2700 MAIL ROOM

JUN - 7 2000

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Box IDS  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and § 1.98, Applicant hereby submits the following art in connection with the above-referenced patent application for "METHOD AND APPARATUS FOR PROVIDING A VIRTUAL DESKTOP SYSTEM ARCHITECTURE".

Foreign patents:

<u>Publication No.</u>	<u>Inventor(s)</u>	<u>Publication Date</u>
WO 98/06207	Cowan et al.	Feb. 12, 1998

Publications:

1. Oracle, "*The Network Computer – Intelligent Clients for the Age of Network Computing*," Database and Network Journal, vol. 26, no. 3.
2. Angel E. Socarras, Robert S. Cooper, William F. Stonecypher, NCR Corp., "*Anatomy of an X Terminal*," IEEE Spectrum, March 1991, No. 3, pp. 52-55.
3. Peter Wayner, "*Inside the NC*," Byte, November 1996, pp. 105-110.
4. Samuel Sheng, Anantha Chandrakasan, and R.W. Brodersen, "*A Portable Multimedia Terminal*," IEEE Communications Magazine, December 1992, No. 12, pp. 64-75.

Applicant requests that these references be included with the references cited in this patent application and that they be printed in any patent that may issue from this patent application.

Pursuant to 37 C.F.R. § 1.97(d), no fee is required. Applicant encloses a Certification pursuant to § 1.97(e)(1). Applicant also submits Form PTO-1449 and copies of the disclosed references pursuant to 37 C.F.R. § 1.98(a).

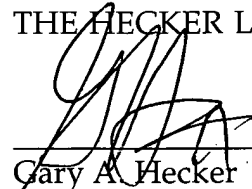
The Commissioner is hereby authorized to charge any fees associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520. A duplicate copy of this transmittal letter is also enclosed for this purpose.

Respectfully submitted,

THE HECKER LAW GROUP

Date: May 26, 2000

By:

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 26, 2000.*

 5-26-2000  
Nancy V. McElrath Date



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CERTIFICATION PURSUANT TO 37 C.F.R. §1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

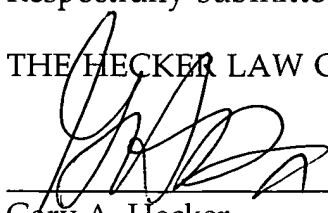
The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

THE HECKER LAW GROUP

Date: May 26, 2000

By:

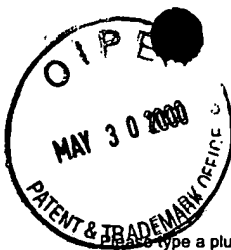
  
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\_\_\_\_\_  
Nancy V. McElrath  
Date 5-26-00



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PTO/SB/21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/063,335	
	Filing Date	April 20, 1998	
	First Named Inventor	NORTHCUTT et al.	
	Group Art Unit	2758	
	Examiner Name	Vu, V.	
Total Number of Pages in This Submission	6	Attorney Docket Number	83000.1013/P3212/B

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Additional Enclosures:
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		1) Certification pursuant to 37 CFR 1.97(e)(1);
		2) Form PTO-1449;
		3) Copies of cited references;
		4) Return postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Hecker THE HECKER LAW GROUP
Signature	
Date	May 26, 2000

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 26, 2000	
Typed or printed name	Nancy V. McElrath
Signature	
Date	5-26-00

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